A Douglas–Rachford Splitting Approach to **Compressed Sensing Image Recovery** Using Low-Rank Regularization

Shuangjiang Li, Student Member, IEEE, and Hairong Qi, Senior Member, IEEE

Abstract—In this paper, we study the compressed sensing (CS) 1 image recovery problem. The traditional method divides 2 the image into blocks and treats each block as an indepen-3 dent sub-CS recovery task. This often results in losing global 4 structure of an image. In order to improve the CS recovery 5 result, we propose a nonlocal (NL) estimation step after the 6 initial CS recovery for denoising purpose. The NL estimation is based on the well-known NL means filtering that takes an advantage of self-similarity in images. We formulate the NL 9 estimation as the low-rank matrix approximation problem, where 10 the low-rank matrix is formed by the NL similarity patches. 11 12 An efficient algorithm, nonlocal Douglas-Rachford (NLDR), based on Douglas-Rachford splitting is developed to solve this 13 low-rank optimization problem constrained by the CS mea-14 surements. Experimental results demonstrate that the proposed 15 NLDR algorithm achieves significant performance improvements 16 over the state-of-the-art in CS image recovery. 17

Index Terms—Compressed sensing, image recovery, nonlocal 18 filtering, Douglas-Rachford splitting, low-rank estimation. 19

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I. INTRODUCTION

▼OMPRESSED Sensing (CS) has drawn quite some 21 attention as a joint sampling and compression 22 approach [1], [2]. It states that under certain conditions, 23 signals of interest can be sampled at a rate much lower than 24 the Nyquist rate while still enabling exact reconstruction of the 25 original signal. CS-based approach has an attractive advantage 26 that the encoding process is made signal-independent 27 and computationally inexpensive at the cost of high 28 decoding/recovery complexity. Usually, the CS measurement 29 is acquired through projecting the raw signals on to a 30 pre-defined random sampling operator. Thus, CS is especially 31 desirable in some image processing applications when the data 32 acquisition devices must be simple (e.g., inexpensive resource-33 deprived sensors), or when oversampling can harm the object 34 being captured (e.g., X-ray imaging) [3], among which the 35 compressive sensing Magnetic Resonance Imaging (CS-MRI) 36 is most promising as it significantly reduces the acquisition 37

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The authors are with the Department of Electrical Engineering and Computer Science, University of Tennessee, Knoxville, TN 37996 USA (e-mail: sli22@vols.utk.edu; hqi@utk.edu).

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time of MRI scanning. When applied to 2D images, CS faces 38 several challenges including a computationally expensive 39 reconstruction process and huge memory required to store the 40 random sampling operator [4]. Several fast algorithms have 41 been developed for CS reconstruction [4]–[6]. The memory 42 challenge was first addressed in [7] using a block-based 43 sampling operation, which later on became the most common 44 method in CS image recovery. 45

Block-based compressed sensing (BCS) has made the CS image recovery practical since it reduces the recovery cost, where image acquisition is conducted in a block-byblock manner through the same compressed sensing (CS) measurement operator. However, manually dividing the image into blocks and treating each image block as an independent sub-CS recovery task would inevitably lose some global properties of the image. Thus it would often require some filtering technique (i.e., Wiener filter [4]) to generate good visual recovery result. Nonetheless, the recovered image still suffers a low PSNR. Aside from BCS, another class of popular methods is based on the total variation (TV) model [5], [8], which exploits the prior knowledge that a natural image is sparse in the gradient domain. TV based algorithms often suffer from undesirable staircase artifacts and tend to oversmooth image details and textures [9].

In this paper, we propose NLDR, a CS image recovery 62 algorithm based on the BCS scheme. We overcome the 63 aforementioned BCS problems by introducing a new nonlocal 64 estimation step after the initial CS reconstruction to further 65 remove noise. The nonlocal estimation process is built on the 66 well-known nonlocal means (NL) filtering that takes advan-67 tage of self-similarities in images, which preserves certain 68 global structure. We formulate the nonlocal estimation into the 69 low-rank approximation problem where the low-rank matrix 70 is formed by the nonlocal similarity patches. Furthermore, 71 by using a deterministic annealing (DA) approach, we incor-72 porate the CS measurement constraint into the low-rank 73 optimization problem. We propose an efficient algorithm 74 based on Douglas-Rachford splitting (DR) to solve the 75 low-rank matrix approximation problem combined with the 76 CS measurement constraints, the solution to which is the final 77 CS recovery output. The proposed NLDR algorithm effectively 78 reduces the staircase artifacts that introduced in BCS and TV 79 by utilizing the nonlocal similarity patches while prevent-80 ing over-smoothness by recursively incorporating the initial 81 CS measurement constraint. 82

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The rest of the paper is organized as follows. Section II provides a brief review of the CS image recovery problem as well as some related works. Section III discusses the nonlocal estimation and Douglas-Rachford Splitting method. We conduct experiments in Section IV on both standard test images and MRI images. Section V concludes the paper.

II. BACKGROUND AND RELATED WORKS

90 A. CS Image Recovery Problem

Mathematically, the sparse representation model assumes that a signal $x \in \mathcal{R}^n$ can be represented as $x = \Psi \alpha$, where $\Psi \in \mathcal{R}^{n \times n}$ is a sparsifying basis or dictionary, and most entries of the coding vector α are zero or close to zero. This sparse decomposition of x can be obtained by solving a relaxed convex ℓ_1 -minimization problem in the following Lagrangian form:

$$\min_{\alpha} \{ \|x - \Psi \alpha\|_{2}^{2} + \lambda_{\alpha} \|\alpha\|_{1} \},$$
(1)

⁹⁹ where constant λ_{α} denotes the regularization parameter.

In CS image recovery, we consider an image $I \in \mathcal{R}^{\sqrt{n} \times \sqrt{n}}$. By representing the image *I* in vector format, denoted as *x*, what we observe is the projected measurement *y* via $y = \Phi x + v$, where $\Phi \in \mathcal{R}^{m \times n} (m < n)$ is the measurement operator and *v* is the additive noise vector. To recover *x* from *y*, first *y* is sparsely coded with respect to the basis Ψ by solving the following minimization problem

$$\hat{\alpha} = \arg\min_{\alpha} \{ \|y - \Phi \Psi \alpha\|_2^2 + \lambda_{\alpha} \|\alpha\|_1 \}$$
(2)

and then x is reconstructed by $\hat{x} = \Psi \hat{\alpha}$.

This can be easily extended to the block-based scenario, as 109 stated in [10]. Let $x_i = R_i x$ denote an image patch extracted 110 at location i, where R_i is the matrix extracting patch x_i from x 111 at pixel location *i*. Given a basis Ψ , each patch can be sparse 112 represented and solved by Eq. (1). Then the entire image x113 can be represented by the set of sparse code using $\{\Psi \alpha_i\}$. The 114 patches can be overlapped to suppress the boundary artifacts. 115 Similarly, in order to reconstruct the image x from the 116 measurement y, we can adopt the same block-based CS 117 recovery by solving α_i from Eq. (2). The whole image x is then reconstructed as $\hat{x} = \Psi \hat{\alpha} = (\sum_i^N R_i^T R_i)^{-1} \sum_i^N (R_i^T \Phi \hat{\alpha}_i)$ 118 119 as proved in [10]. 120

The Iterative soft thresholding (IST) algorithm [11] can 121 be very efficient in solving the problem in Eq. (2). In the 122 (k + 1)-th iteration, the solution is given by $\alpha^{(k+1)} =$ 123 $S_{\tau}(\alpha^{(k)} + \Phi^* y - \Phi^* \Phi \Psi \alpha^{(k)})$, where $S_{\tau}(\cdot)$ is the classic soft-124 thresholding operator [11]. In this paper, we use a slightly 125 modified IST algorithm [12], where the solution in each 126 iteration is called the projected Landweber iteration with the 127 adaptive descent parameter $\beta^{(k)} > 0$. 128

¹²⁹
$$\alpha^{(k+1)} = \mathcal{P}_{\mathcal{R}}[\alpha^{(k)} + \beta^{(k)}\Phi^*(y - \Phi\Psi\alpha^{(k)})],$$
 (3)

where $\mathcal{P}_{\mathcal{R}}$ is the ℓ_2 projection of α on the ℓ_1 ball with radius \mathcal{R} . The adaptive descent parameter $\beta^{(k)}$ can be selected using the greedy strategy as follows,

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$$\beta^{(k)} = \frac{\|\Phi^*(y - \Phi\Psi\alpha^{(k)})\|_2^2}{\|\Phi\Phi^*(y - \Phi\Psi\alpha^{(k)})\|_2^2}$$
(4)

This is an accelerated version of IST that converges faster than the original IST. Readers may refer to [12] for details.

B. Other Related Works

Buades et al. introduced in [13] the nonlocal 138 means (NLM) filtering approach to image denoising, 139 where the self-similarities between rectangular patches are 140 used as a prior on natural images. The idea of nonlocal 141 means has recently received much attention in image 142 processing [14]–[19]. For example, Peyré et al. [14] 143 proposed to use the Total Variation (TV) prior and nonlocal 144 graph to solve the inverse problem with application in CS. 145 The same idea was also adopted in Yang and Jacob [15]. 146 Zhang et al. [16] proposed TVNLR which improves the 147 conventional TV approach by adding a nonlocal regularization 148 to the CS recovery problem and solved the problem using 149 the Augmented Lagrangian Method (ALM). Shu et al. 150 proposed the NLCS algorithm [17] and tried to group similar 151 patches through NLS (nonlocal sparsity) regularization. 152 The authors in [19] proposed a nonlocal total variation 153 structure tensor (ST-NLTV) regularization approach for 154 multicomponent image recovery from degraded observations, 155 leading to significant improvements in terms of convergence 156 speed over state-of-the-art methods such as the Alternating 157 Direction Method of Multipliers (ADMM). Dong et al. 158 proposed the nonlocal low-rank regularization (NLR-CS) 159 method [18] which explored the structured sparsity of the 160 image patches for compressed sensing. In order to explore the 161 low-rank structure of the image patches, a smooth but non-162 convex surrogate function for the rank estimation is adopted 163 as objective function. Zhang et al. proposed nonlocal TV 164 regularization (NLVT) [20] for CS image recovery. NLTV is 165 based on the Bregman iteration [21], namely Bregmanized 166 Operator splitting (BOS). 167

In this paper, we adopt the nonlocal means filtering idea and 168 introduce a new nonlocal estimation step after the initial CS 169 reconstruction to further remove noise. It differs from [14] 170 as we use the ℓ_1 -norm based sparsity of the image and 171 result in solving a convex optimization problem using the 172 projection method. In [14] the nonlocal graph is similar to 173 the nonlocal weights between patches as used in our paper. 174 The main difference is that the author further imposed that 175 these weights correspond to a probability distribution and that 176 the graph only connects pixels that are not too far away. 177 While in [15], the nonlocal weights may be improved using 178 a different distance metric (i.e., robust distance metric) to 179 promote the averaging of similar patches while minimizing 180 the averaging of dissimilar patches. In this paper, we only 181 aim to find similar patches to form low-rank matrix and thus 182 differ from these methods. In [18] instead of using the nuclear 183 norm for low-rank approximation, the authors proposed to use 184 non-convex surrogate function and subsequently solved the 185 optimization problem via ADMM. 186

In [17], two non-local sparsity measures, i.e., non-local wavelet sparsity and non-local joint sparsity, were proposed to exploit the patch correlation in NLCS. It then combines with the conventional TV measure to form the optimization 180

objective function and use the ADMM method to solve the 191 CS recovery problem. It differs from our algorithm in that 192 their search for similar patches is incorporated in the objective 193 function while NLDR directly adopts the nonlocal means 194 filtering approach to find the similar patches and then conducts 195 low-rank approximation. After getting the non-local low-rank 196 estimation, we further incorporate the initial CS measurement 197 constraint into the low-rank optimization problem, using a 198 deterministic annealing (DA) approach to further improve 199 the recovery result. Additionally, compared to the traditional 200 ADMM method, we propose to use Douglas-Rachford split-201 ting method to effectively solve the combined optimization 202 problem. 203

In [22], Candès and Tao proposed to solve the matrix completion problem using low-rank regularization through convex optimization. Later in [23] Dong et al. first combined the nonlocal image representation and low-rank approach for image restoration and achived state-of-the-art performance in image denosing. Ji et al. [24] also incorporated the low-rank matrix completion in video denoising.

To summarize, the main contribution of this paper is three-211 fold: First, we propose to incorporate the nonlocal similarity 212 patches searching step after the initial CS image recovery 213 task. By searching and incorporating the nonlocal similarity 214 patches the traditional block based CS recovery artifacts could 215 be resolved. Second, we propose to estimate the grouped 216 similarity patches matrix as a low-rank matrix completion 217 problem, referred as nonlocal low-rank estimation. The idea 218 is that, by searching the nonlocal similarity patches we could 219 resolve the block and staircase artifacts, while using low-rank 220 estimation we can further denoise the grouped similarity 221 patches. Third, we incorporate the initial CS measurement 222 constraint into the low-rank estimation optimization 223 problem. By using a deterministic annealing (DA) approach, 224 the Douglas-Rachford splitting effectively solves the 225 reformulated optimization problem. 226

227 III. NONLOCAL LOW-RANK REGULARIZATION AND 228 DOUGLAS-RACHFORD SPLITTING

In this section, we present the idea of nonlocal low-rank regularization, followed by the proposed Douglas-Rachford splitting method. We refer to the algorithm as the Nonlocal Douglas-Rachford splitting (NLDR) algorithm.

233 A. Nonlocal Low-Rank Regularization for CS Image

234 An example to illustrate the nonlocal estimation step is shown in Fig. 1. The Lena image in the first row is obtained 235 from the IST CS recovery algorithm. Then the nonlocal similar 236 patches are searched across the entire image. We denote the 237 nonlocal similar patches of x_i as $x_{i,1}, x_{i,2}, x_{i,3}, \cdots x_{i,q}$. These 238 extracted patches then form the matrix B_i where the low-rank 239 approximation is conducted to yield the resulting denoised 240 patch matrix, as shown in the second row. We apply patch 241 reweight to obtain the estimated patch x_e to update the original 242 patch x_i . After iterating over the entire image, the much 243 cleaner Lena image is shown leftmost in the second row. 244



Fig. 1. An illustration of nonlocal estimation and similar patches denoising using low-rank matrix approximation.

1) Nonlocal Similarity Patches:The basic idea of245nonlocal (NL) means filtering is simple. For a given pixel246 u_i in an image x, its NL filtered new intensity value, denoted247by $NL(u_i)$, is obtained as a weighted average of its neighborhood pixels within a search window of size w.248

In our work, we extend the pixel-wise nonlocal filtering to the patch-based filtering. Specifically, we search for the nonlocal similar "patches" $x_{i,j}$, $j = 1, 2, \dots, q$, to the given patch x_i in a large window of size w centered at pixel u_i . 253 Here, q is the total number of similar patches to be selected. 254 The weight of patch $x_{i,j}$ to x_i , denoted as ω_{ij} , is then computed by 256

$$p_{ij} = \frac{1}{c_i} \exp(\frac{-\|x_i - x_{i,j}\|_2^2}{h^2}), \quad j = 1, \cdots, q$$
 (5) 25

where *h* is a pre-determined scalar and c_i is the normalization factor. Accordingly, for each patch x_i , we have a set of its similar patches, denoted by Ω_i . Then the nonlocal estimates of each patch \hat{x}_i can be computed as $\hat{x}_i = \sum_{j \in \Omega_i} \omega_{ij} x_{i,j}$. Further, this can be written in a matrix form as

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$$\hat{x}_{nl} \doteq \mathbf{W} \sum_{i=1}^{p} \hat{x}_i, \quad \mathbf{W}(i, j) = \begin{cases} \omega_{ij}, & \text{if } x_j \in \Omega_i \\ 0, & \text{otherwise.} \end{cases}$$
(6) 263

where *p* denotes the number of all patches in the entire image and \hat{x}_{nl} is the nonlocal estimated image output. 264

2) Patch Denoising by Low-Rank Approximation: Although 266 we can use Eq. (6) to remove noise in the IST recovered 267 image \hat{x} to a certain degree, this is based on a weighted 268 average of patches in \hat{x} , which are inherently noisy. Thus, it 269 is imperative to apply some denoising techniques before the 270 nonlocal similarity patch reweight using Eq. (6) to prevent 271 the noise from accumulating. By rewriting the nonlocal 272 similarity patches into the matrix format, we have 273 $B_i = [x_{i,1}; x_{i,2}; \dots; x_{i,q}]$, where each column of B_i is a 274 vector representation of $x_{i,j}$, $j = 1, 2, \dots, q$ for patch x_i . 275 Since all columns of B_i share similarity with patch x_i , 276 the columns of B_i should bear a high degree of similarity 277 between each other. In other words, we can safely treat B_i 278 as a low-rank matrix. We thus formulate the nonlocal patch 279 denoising problem into the low-rank matrix approximation 280

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²⁸¹ problem [22] as follows,

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$$\min_{\hat{B}_i} \frac{1}{2} \|B_i - \hat{B}_i\|_2^2 + \lambda_{B_i} \|\hat{B}_i\|_*,$$
(7)

where $\|\hat{B}_i\|_*$ is the nuclear norm of the low-rank approximated

patch matrix \hat{B}_i , defined by $\|\hat{B}_i\|_* \triangleq \operatorname{trace}(\sqrt{\hat{B}_i}^T \hat{B}_i) = \sum_{r=1}^q \sigma_r$, and σ_r 's are the singular values of \hat{B}_i .

In addition, since the columns of B_i (or the patches) are also a subset of the reconstructed image from IST recovery algorithm, it should be subject to the CS measurement constraint $y = \Phi x$. Therefore, multiplying Eq. (7) with **W**, we reformulate the denoising problem of Eq. (7) into

$$\min_{x} \frac{1}{2} \|x - \mathbf{W}B_i\|_2^2 + \lambda_x \|x\|_* \text{ s.t. } y = \Phi x.$$
 (8)

In what follows, we discuss in sec. III-B how to solve Eq. (8) with the CS measurement constraint using the method referred to as the Douglas-Rachford splitting method.

295 B. Douglas-Rachford Splitting

The Douglas-Rachford splitting method was originally proposed in [25] for solving matrix equations. Later on it was advanced as an iterative scheme to minimize the functions of the form,

$$\min F(x) + G(x) \tag{9}$$

where both *F* and *G* are convex functions for which one is able to compute the proximal mappings $prox_{\gamma F}$ and $prox_{\gamma G}$ which are defined as

prox_{$$\gamma F$$}(x) = arg min_y $\frac{1}{2} ||x - y||_2^2 + \gamma F(y)$ (10)

The same definition applies to $\operatorname{prox}_{\gamma G}$ [26]. In order to solve Eq. (8), we have $F(x) = \iota_{\mathcal{C}}(x)$ and $G(x) = ||x||_*$, where $\mathcal{C} = \{x : y = \Phi x\}$ and $\iota_{\mathcal{C}}$ is the indicator function.

Given that $F(x) = \iota_{\mathcal{C}}(x)$, the solution to Eq. (10) is the same as projections onto convex sets (POCS), and does not depend on γ . Therefore, we have

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$$\operatorname{prox}_{\gamma_{lC}F}(x) = \operatorname{prox}_{l_{C}F}(x) = x + \Phi^{+}(y - \Phi x), \quad (11)$$

where $\Phi^+ = \Phi^T (\Phi \Phi^T)^{-1}$ is the pseudoinverse of Φ . The proximal operator of G(x) is the soft thresholding of the singular values

$$prox_{\gamma G}(x) = U(x) \cdot \rho_{\lambda_x}(S(x)) \cdot V(x)^*$$
(12)

where $x = U \cdot S \cdot V^*$ is the singular value decomposition of the matrix x and $S = \text{diag}(s_i)_i$ is the diagonal matrix of singular values s_i , and $\rho_{\lambda_x}(S)$ is defined as a diagonal operator.

$$\rho_{\lambda}(S) = \operatorname{diag}(\max(0, 1 - \lambda_{x}/|s_{i}|)s_{i})_{i}$$
(13)

We can then solve the problem in Eq. (7) using the Douglas-Rachford iterations given by

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$$\tilde{x}_{k+1} = (1 - \frac{\mu}{2})\tilde{x}_k + \frac{\mu}{2} \operatorname{rprox}_{\gamma G}(\operatorname{rprox}_{\gamma F}(\tilde{x}_k))$$
 (14)

and the (k + 1)-th solution \hat{x}_{k+1} is calculated by $\hat{x}_{k+1} = \operatorname{prox}_{\gamma F}(\tilde{x}_{k+1})$. Here the reversed-proximal mappings Algorithm 1 Nonlocal Douglas-Rachford Splitting (NLDR) Algorithm

Input:

- Measurement matrix $\Phi \in \mathbb{R}^{m \times n}$
- ▶ Basis matrix $\Psi \in \mathbb{R}^{n \times n}$
- ▶ Observation vector $y \in \mathbb{R}^m$.
- ▶ Number of IST iterations iter, number of nonlocal
- estimation iterations J, DR splitting iterations K
- Output:
 - ▶ An estimate $\hat{x} \in \mathbb{R}^n$ of the original image x.
- 1: Initialize $\alpha^0 \leftarrow \mathbf{0}$
- 2: for $k = 1, \cdots$, iter do
- 3: (a) Select $\beta^{(k)}$ based on Eq. (4)
- 4: (b) Update $\alpha^{(k+1)}$ using Eq. (3)
- 5: end for
- 6: **for** $j = 1, 2, \cdots, J$ do
- 7: Step 1: Nonlocal Estimate
- 8: (a) Calculate nonlocal weights ω_{ij} via Eq. (5)
- 9: (b) Obtain low-rank patch matrix B_i via Eq. (7)

10: Step 2: Douglas-Rachford Splitting to solve Eq. (8)

- 11: **for** $k = 1, 2, \cdots, K$ do
- 12: (a) Calculate $\operatorname{prox}_{\gamma F}(x)$ via Eq. (11)
- 13: (b) Calculate $\operatorname{prox}_{\gamma G}(x)$ via Eq. (12)
- 14: (c) Calculate \tilde{x}_{k+1} via Eq. (14)
- 15: **end for**
- 16: end for
- 17: return $\hat{x} \leftarrow \tilde{x}_{k+1}$

is given by $\operatorname{rprox}_{\gamma F} = 2\operatorname{prox}_{\gamma F} - x$ for F(x) and in the similar fashion to G(x).. The parameters are selected as $\lambda_x > 0$ and $0 < \mu < 2$ which guarantee \hat{x} to be a solution that minimizes F(x) + G(x) based on the proof in [27].

C. The NLDR Algorithm

Algorithm 1 provides a pseudo-code for the proposed 330 Nonlocal Douglas-Rachford splitting (NLDR) algorithm. 331 Given the observation y (i.e., compressed measurements), the 332 NLDR algorithm first outputs an intermediate reconstruction 333 result \hat{x}_{IST} through the IST algorithm. This soft-thresholding 334 output is then used to calculate the nonlocal estimated image 335 \hat{x}_{nl} , which is used to initialize the low-rank optimization 336 problem in Eq. (7) where the Douglas-Rachford splitting 337 method will be carried out iteratively based on Eq. (14). 338

As for calculating the nonlocal estimates of the image, the NLDR algorithm obtains the averaged result based on *J* nonlocal estimation iterations. For the IST algorithm, we empirically set the penalty parameter $\lambda_{\alpha} = 1.8$ and softthresholding parameter $\tau = 1.2$, respectively.

IV. EXPERIMENTS

In this section, we evaluate the NLDR algorithm for CS image reconstruction where both standard test images and MRI images are used. The reason for choosing MRI images for evaluation purpose is due to the significant impact of CS on the clinical practice of MRI, where long acquisition time 349

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TABLE I PSNR Performance in dB

Images		Lena													
Algor	rithms	IST	TV	TVAL3	BCS-SPL	IST+BM3D	NLCS	TVNLR	NLR-CS	NLTV	NLDR	TVAL3+NLDR	BCS-SPL+NLDR		
m/n	0.1	25.41	22.75	29.02	28.31	25.93	31.74	28.62	29.58	25.94	33.67	33.81	33.80		
	0.2	29.57	24.44	31.56	31.37	30.42	34.78	30.98	32.95	29.73	36.33	36.35	36.35		
	0.3	32.05	25.47	32.99	33.50	32.91	36.67	33.52	34.73	31.73	37.82	37.83	37.83		
	0.4	34.07	27.88	35.03	35.20	34.72	38.22	35.48	36.56	35.39	39.02	39.02	39.02		
	0.5	35.89	30.73	36.26	36.79	36.34	39.66	36.94	38.77	37.90	40.16	40.17	40.16		
								Barbar	a						
	0.1	21.18	20.10	21.31	22.85	21.34	24.34	22.55	26.90	23.13	29.48	31.14	31.01		
	0.2	24.35	21.66	21.60	24.33	24.80	28.17	24.30	30.87	28.29	35.28	35.21	35.22		
m/n	0.3	26.96	23.61	24.79	25.92	27.73	31.65	25.35	34.26	31.79	37.30	37.30	37.32		
	0.4	29.38	25.32	28.55	27.68	30.29	34.32	26.85	36.14	34.44	38.95	38.95	38.95		
	0.5	31.73	26.62	31.08	30.15	32.82	36.63	28.02	39.36	36.23	40.50	40.51	40.51		
		21.20	Peppers												
	0.1	24.30	21.98	29.69	28.88	24.77	31.85	26.25	29.18	25.78	32.91	33.11	33.07		
,	0.2	29.16	23.47	32.70	31.44	29.86	33.99	31.64	32.38	29.35	34.74	34.70	34.69		
m/n	0.3	31.54	25.57	34.02	32.89	32.16	35.27	34.35	33.73	32.85	35.78	35.70	35.70		
	0.4	33.29	27.50	34.98	34.00	33.57	30.41	35.62	35.29	34.82	30.91	30.75	30.75		
	0.5	<u>34.73</u> 29.07 30.08 35.18 34.57 37.52 30.74 37.03 36.96 38.13 37.99 37.99													
	0.1	10.69	10.70	10.01	20.21	10.66	20.80			10.05	21.15	21.20	21.90		
	0.1	21.02	21.17	19.01	20.21	19.00	20.89	20.57	20.05	19.95	21.15	21.09	21.00		
	0.2	21.02	21.17	19.22	21.09	20.09	22.78	21.95	23.21	24.64	25.45	24.08	25.95		
min	0.5	22.54	22.81	20.20	21.80	23.13	24.44	24.26	25.20	24.04	23.49	23.83	27.81		
	0.1	25.00	26.71	22.73	24.50	25.15	27.87	25.42	27.16	29.37	29.40	29.71	29.66		
	0.5	Coldbill													
	0.1	24.96	22.56	27.45	26.96	25.08	28.53	25.20	28.92	23.58	28.94	29.66	29.57		
	0.2	27.81	23.93	29.86	28.95	27.87	30.84	28.92	31.60	26.90	32.10	32.26	32.24		
m/n	0.3	29.53	25.88	31.62	30.56	29.49	32.55	31.29	33.37	30.08	33.99	34.02	34.02		
	0.4	31.23	27.73	33.21	32.09	30.92	34.13	33.08	34.36	32.66	35.61	35.63	35.62		
	0.5	32.76	29.44	33.29	33.61	32.18	35.67	34.55	36.41	35.01	37.20	37.20	37.21		
								Cameran	nan						
	0.1	23.86	22.05	28.50	26.36	24.38	33.26	25.53	30.72	29.71	36.83	36.97	36.85		
	0.2	26.97	24.11	34.12	30.07	31.66	37.49	30.67	35.29	33.95	41.49	41.56	41.55		
m/n	0.3	33.66	26.55	38.16	32.82	35.44	40.71	34.34	37.79	37.65	43.92	43.96	43.96		
	0.4	34.85	29.23	40.42	35.48	38.28	43.40	37.56	41.65	40.83	45.96	46.01	45.95		
	0.5	36.26	34.02	43.01	37.85	40.71	45.92	39.95	45.56	43.67	47.90	47.92	47.90		
								Boat							
	0.1	23.77	21.48	25.76	24.65	24.16	27.74	24.03	26.41	23.94	28.69	29.52	29.24		
	0.2	27.01	23.18	28.94	27.02	27.38	30.66	28.02	29.07	27.97	32.48	32.68	32.63		
m/n	0.3	29.10	24.84	31.09	28.94	29.61	32.64	30.80	30.65	30.89	34.41	34.47	34.43		
	0.4	30.91	26.93	32.68	30.59	31.24	34.26	33.06	32.48	33.45	35.77	35.81	35.86		
	0.5	32.68	29.19	33.53	32.19	32.76	35.73	34.66	35.87	36.04	37.24	37.24	37.25		

has been one of the primary obstacles. We implement the 350 algorithm using Matlab 2013b on a 2.20GHz laptop computer. 351 BCS-SPL [4] is a block-based CS image recovery 352 method solved using a smoothed version of projected 353 Landweber (SPL) algorithm. The smoothing process is done 354 by the Wiener filter. We further compare our result with 355 one of the state-of-the-art algorithms for image CS recovery, 356 known as TVAL3 [6]. TVAL3 tries to minimize the image 357 total variation norm using augmented lagrangian and alternat-358 ing direction algorithms. Several TV-based methods are also 359 compared. The TV benchmark method denoted as TV which 360 is implemented based on [28], TVNLR [29] and NLTV [20]. 361 We also compare NLDR performance with other nonlocal 362 based approaches, e.g., NLCS [17] and NLR-CS [18]. Finally, 363 to evaluate the potential of NLDR as a standalone denoising 364 method, we compare its performance with the state-of-the-art 365 BM3D [30] method for noise removal purpose. 366

367 A. CS Recovery on Standard Image Dataset

We present the experimental results for noiseless CS measurements and then report the results using noisy CS measurements.



Fig. 2. CS recovery results on *Lena* image with 10% measurements at iteration *j*.

1) Noiseless Recovery: We first test the NLDR algorithm $_{371}$ in noiseless settings using standard test images of size $_{372}$ 512×512 . The block-based image patch is of size 6×6 . $_{373}$ We set the number of similar patches q in the nonlocal $_{374}$



CS Reconstructed image Barbara with 30% measurement ratio. (a) Original image; (b) proposed NLDR recovery, PSNR = 37.30dB; Fig. 3. (c) BCS-SPL recovery [4], PSNR = 25.92dB; (d) TVAL3 recovery [6], PSNR = 24.79dB; (e) TVNLR recovery [29], PSNR = 25.35dB. (f) NLCS recovery [17], PSNR = 31.65dB; (g) NLR-CS recovery [18], PSNR = 34.26dB; (h) NLTV recovery [20], PSNR = 31.79dB.



Fig. 4. Boat image with cropped character patch using 20% measurements. (a) proposed NLDR recovery, PSNR = 32.48dB; (b) NLCS recovery [17], PSNR = 30.66dB; (c) TVNLR recovery [29], PSNR = 28.02dB; (d) NLR-CS recovery [18], PSNR = 29.07dB; (e) NLTV recovery [20], PSNR = 27.97dB.



Fig. 5. Part of Lena image with 200% magnification using 20% measurements. (a) Original image; (b) reconstruction using proposed NLDR with IST, PSNR = 36.33dB; (c) TVAL3 + NLDR, PSNR = 36.35dB (d) BCS-SPL + NLDR, PSNR = 36.35dB.

estimation step as 45. We use the scrambled Fourier matrix as 375 the CS measurement operator Φ and DCT matrix as the basis 376 Ψ to represent the original image in the initial IST recovery. 377 The parameters are selected as $\mu = 1$ for DR iteration and 378 $\lambda_x = \frac{c_i}{\max(s_i)}$ for each iteration where $c_i = C_0 * \epsilon, 0 < \epsilon < 1$ and C_0 is a constant. For the number of iterations in the 379 380

outerloop, we find that the recovery result gradually converges 381 when J reaches 12 for all the image datasets. Fig. 2 shows 382 one example on Lena image using 10% of measurements. Note 383 that at iteration 0, we use the initial IST recovery result. 384

Table I compares PSNR with different measurement ratios 385 (i.e., $\frac{m}{n}$). We see that the NLDR algorithm considerably 386

TABLE II	
CS NOISY RECOVERY RESULTS ON STANDARD TEST	IMAGES WITH 20% MEASUREMENTS

	Algorithm									
	NLDR	TV	NLTV	BCS-SPL	TVAL3	TVNLR	NLCS	NRL-CS	BM3D	
SNR					Lena					
5	36.24	21.27	25.94	30.50	28.82	28.14	32.45	32.55	30.32	
10	36.29	21.63	27.66	30.51	28.93	28.43	33.13	32.65	30.31	
15	36.29	22.19	28.34	30.52	30.94	29.23	33.44	32.76	30.31	
25	36.29	23.63	29.01	30.52	31.18	30.96	34.01	32.90	30.34	
35	36.29	24.34	29.50	30.52	31.18	30.98	34.57	32.95	30.34	
Noiseless	36.33	24.44	29.73	31.37	31.56	30.98	34.78	32.95	30.42	
					Barbara					
5	35.15	19.03	25.11	24.40	19.45	23.22	27.73	30.39	24.74	
10	35.16	19.34	25.94	24.44	19.80	23.56	27.86	30.50	24.75	
15	35.16	19.87	26.37	24.45	19.94	24.17	28.02	30.76	24.77	
25	35.21	21.05	27.35	24.45	20.03	24.04	27.94	30.87	24.77	
35	35.27	21.32	28.04	24.46	20.07	24.28	28.01	30.87	24.80	
Noiseless	35.28	21.66	28.29	24.33	21.60	24.30	28.17	30.87	24.80	
					Peppers					
5	34.61	20.11	26.21	30.77	31.33	29.87	32.11	32.01	29.79	
10	34.61	20.49	26.73	30.77	31.71	30.01	32.49	32.17	29.73	
15	34.68	21.61	27.01	30.92	31.99	30.55	33.41	32.30	29.76	
25	34.68	23.20	28.35	30.92	32.66	31.60	33.37	32.38	29.80	
35	34.58	23.44	29.22	30.92	32.68	31.60	33.89	32.38	29.80	
Noiseless	34.74	23.47	29.35	31.44	32.70	31.64	33.99	32.38	29.86	
					Mandrill					
5	23.41	19.35	19.76	21.31	16.27	20.22	20.41	21.45	20.55	
10	23.39	19.74	20.01	21.29	16.35	20.94	21.33	21.60	20.62	
15	23.41	20.20	20.69	21.31	17.07	21.77	22.01	21.76	20.62	
25	23.42	20.67	21.27	21.33	17.67	21.90	22.48	21.80	20.62	
35	23.42	20.99	22.03	20.81	18.21	21.93	22.60	21.84	20.63	
Noiseless	23.43	21.17	22.25	21.09	19.22	21.93	22.78	21.89	20.69	
	22.04			22.24	Goldhill			21.25		
5	32.04	21.09	24.81	28.36	28.54	28.02	28.77	31.27	29.79	
10	32.07	21.86	25.03	28.37	28.84	28.43	29.03	31.30	29.81	
15	32.10	22.44	25.84	28.37	28.96	28.89	30.48	31.44	29.81	
25	32.06	23.50	26.40	28.37	29.70	28.92	30.33	31.59	29.81	
35	32.06	23.61	26.66	28.37	29.75	28.92	30.67	31.60	29.81	
Noiseless	32.10	23.93	26.90	28.95	29.86	28.92	30.84	31.60	29.86	
	41.20	21.01	21.12	20.07		in 20.17	26 77	24.09	21.57	
3	41.20	21.01	31.13	30.07	33.02	29.17	30.77	34.98	31.57	
10	41.40	21.27	31.30	30.19	35.21	29.85	30.98	35.20	31.62	
15	41.48	22.11	32.07	30.06	22.44	20.47	37.40	35.20	31.05	
25	41.49	22.98	33.24	30.09	22.05	20.64	31.31	35.29	31.64	
35 Noiseler	41.49	23.67	33.80	30.20	24.12	20.64	37.44	35.29	31.04	
INDISEIESS	41.49	24.11	55.95	30.07	B = = 4	30.67	57.49	35.29	31.60	
	22.20	20.15	25.46	27.00	B0at	27.14	20.02	29.67	27.20	
3	32.39	20.15	25.40	27.00	27.05	27.14	28.83	28.07	21.29	
10	32.44	20.44	25.05	27.01	21.18	27.43	28.97	28.75	27.32	
15	32.44	21.21	26.29	27.02	28.08	27.95	29.25	28.97	27.32	
25	32.44	21.99	20.99	27.02	28.21	28.02	29.70	29.05	27.32	
JO	32.44	22.78	27.08	27.02	28.57	28.00	30.49	29.05	27.34	
INOISEIESS	32.48	23.18	27.97	27.02	28.94	28.02	30.66	29.07	27.38	

outperforms the other methods in all the cases, with 387 PSNR improvements of up to 11.38dB and 13.68dB, 388 as compared with BCS-SPL and TVAL3, respectively. 389 Furthermore, the average PSNR gain by NLDR over BCS-SPL 390 is 6.18dB and 5.17dB over TVAL3. For the other nonlocal 391 based methods, we see that NLDR also outperforms them, 392 with average PSNR gain over NLCS by 2.19dB, 5.41dB over 393 TVNLR, 2.79Db over NLR-CS and 4.28dB over NLTV. 394

Since originally NLDR is calculated on top of the IST recovery algorithm with an extra nonlocal estimation step, in order to perform a fair comparison among the BCS-SPL and TVAL3 algorithms, we use the result image from BCS-SPL and TVAL3 algorithm as the input to the NLDR algorithm. By doing this, we would be able to quantify how much improvement NLDR has gained. Also, since the initial image from IST output is noisy, we further apply the state-of-the-art denoising algorithm - BM3D on top of the IST recovery result to denoise the result image in order to compare with the NLDR result.

In Table I, the column TVAL3+NLDR denotes 406 applying NLDR on the TVAL3 resulting image, the column 407 BCS-SPL+NLDR denotes NLDR applied on top of the 408 BCS-SPL output, and IST+BM3D denotes BM3D applied 409 on top of the IST output. Note, we also generate the sole 410 IST algorithm output in the first column. From the table, 411 we can see that the columns correspond to TVAL3+NLDR, 412

BCS-SPL+NLDR and NLDR yield similar PSNR. This 413 result indicates the generalization capability of NLDR, that it 414 actually gives the best available denoised recovery result no 415 matter what the initial input is. That is, NLDR has the great 416 potential of serving as a stand-alone denoising algorithm. 417

Some visual results of CS reconstructed image Barbara with 418 30% measurement ratio are presented in Fig. 3. Obviously, 419 NLDR generates much better visual quality than those 420 from BCS-SPL and TVAL3, where both BCS-SPL and 421 TVAL3 have blurred artifacts. When compared using Table I, 422 we see NLDR outperforms the other two algorithms largely 423 in PSNR. The reason is that the image *Barbara* itself has a 424 lot of texture patterns (i.e., nonlocal similar patches), which 425 had been successfully exploited in the NLDR algorithm. 426 Fig. 4 demonstrates the Boat image with cropped character 427 patch using 20% measurements. Also, we show in Fig. 5 the 428 result of original NLDR using IST as well as TVAL3+NLDR 429 and BCS-SPL+NLDR. They all have similar visual results 430 as compared to the original image. This is consistent to the 431 observation made based on Table I that their recovery PSNRs 432 are very close. 433

2) Noisy Recovery: In this experiment, the robustness of 434 the NLDR algorithm to noise is demonstrated. In practice, 435 CS measurements consist mostly of linear operations, thus 436 the Gaussian noise corrupting the signal during the signal 437 acquisition is approximated as the Gaussian noise corrupt-438 ing the compressed measurement vector. In our experiments, 439 simply corrupt the compressed measurement vecwe 440 tor by different levels of Gaussian noise measured by 441 Signal-to-Noise Ratios (SNRs). We use all seven standard test 442 images and add different SNRs (5, 10, 15, 25, 35) to their 20% 443 CS measurements and report the PSNR values of the final 444 CS recovered image in Table II. 445

From Table II, we see that by adding 5dB of Gaussian noise on the CS measurements, all the TV-based algorithms' 447 (i.e., TV, NLTV, TVAL3 and TVNLR) recovery performance 448 suffer in terms of PSNR as compared with their original 449 noiseless recovery settings. When the noise SNR reaches 35, 450 the recovery result is close to its noiseless case. It also 451 demonstrates that the recovery performance degrades on both 452 BCS-SPL and NLCS when noise is added while NLDR is 453 affected much less by the noise in all SNR cases. We see 454 that the NLR-CS algorithm is also robust on noise with only 455 less than 1dB PSNR decrease as compared with its noiseless 456 settings for all the testing images. For BM3D, as a denoising 457 algorithm, we see that the recovery result is not affected 458 much with different noise dB levels. However, NLDR still 459 outperforms NLR-CS and BM3D in the noisy CS recovery 460 case. 461

B. Recovery Performance on MRI Data 462

In this experiment, the performance of the proposed 463 NLDR algorithm is demonstrated on the real MRI Brain 464 image data with a variety of undersampling factors. The image 465 used is in vivo MR scans of size 512×512 from [31]. The 466 CS data acquisition is simulated by downsampling the 467 2D discrete Fourier transform of the Brain image. Our result is 468



Fig. 6. Axial T2 Weighted Brain image CS recovery using 4 fold downsampling (25% measurements). (a) Original image; (b) reconstruction using SparseMRI, PSNR = 31.84dB; (c) DLMRI, PSNR = 34.75dB; (d) NLDR (IST), PSNR = 34.86 dB.

compared with a leading CS MRI method by Lustig et al. [3] 469 (denoted as SparseMRI) and the dictionary learning based 470 recovery algorithm called DLMRI [32]. The SparseMRI 471 method is to minimize both the l_1 norm and the TV norm 472 of the image in the wavelet domain. The DLMRI uses 473 K-SVD dictionary learning methods and tries to find the best sparse representation of the image for CS recovery. We adopt the same 2D random sampling scheme as in [32] with 2.5, 4, 6, 8, 10, 20 fold downsampling. Here, for the k fold downsampling, it is equivalent to the measurement ratio (i.e., $\frac{m}{n}$) of $\frac{1}{k}$.

In Fig. 6, we present the CS recovery result on the Brain image with 4 fold downsampling. We observe that NLDR (based on IST) gives the best recovery result in PSNR which 482 is 34.86dB. The DLMRI method also has a close PSNR of 483 34.75dB. We also demonstrate in Fig. 7 the comparison with 484 various downsampling factors. When the downsampling factor 485 is within 10 fold, the NLDR performance is comparable to 486 that of the DLMRI method, while the SparseMRI generates 487 much lower recovery PSNRs. When the downsampling factor 488 reaches 20, the reconstructed image PSNR drops drastically 489 for SparseMRI, and the NLDR is 1.15dB less than DLMRI 490 PSNR. The reason that DLMRI performs better than NLDR 491 is that, DLMRI uses dictionary learning to find the best 492 sparse representation basis for each single test image. NLDR 493 naturally utilizes a general DCT basis to represent the original 494 test image. As a universal basis, it is not chosen to be 495 optimal for one image. The DLMRI also has its disadvantages-496 the recovery time usually lasts for hours for a large image 497



Fig. 7. CS recovery results comparison with various downsampling factors.

as the dictionary learning takes a lot of computations. The computation time needed for NLDR is at the same level as those of TVAL3 and BCS-SPL. For all our test images of size 512×512 , NLDR takes, on average, about 10 minutes to finish on a Laptop PC.

V. CONCLUSION

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This paper presented a CS image recovery algorithm based 504 on Douglas-Rachford Splitting with nonlocal estimation. The 505 proposed NLDR algorithm first used the iterative thesholding 506 algorithm to obtain the intermediate image reconstruction 507 result. Then a nonlocal estimation step was applied to the 508 reconstructed image to improve the recovery performance. 509 In the nonlocal estimation step, we reformulated the patches 510 estimation as patch denoising problem using low-rank matrix 511 approximation. We proposed a Douglas-Rachford splitting 512 method to solve the CS recovery problem with the non-513 local estimation. Experimental results validated the perfor-514 mance of the proposed NLDR algorithm in both PSNR and 515 visual perception on standard test images with both noiseless 516 and noisy settings. NLDR outperformed the state-of-the-art 517 CS recovery algorithms and showed it can be applied on top 518 of existing recovery algorithms to further improve the recovery 519 performance. Experiments on MRI data also demonstrated it 520 is practical for real applications with competing results. 521

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Shuangjiang Li received the B.S. degree in electrical engineering from the University of Science and Technology Beijing, Beijing, China, in 2009, and the M.S. and Ph.D. degrees in computer engineering from the University of Tennessee, Knoxville, TN, in 2011 and 2015, respectively. His research interests include signal and image processing, compressed sensing, mobile sensing systems, and WSN.



Hairong Qi (S'97-M'00-SM'05) received the B.S. and M.S. degrees in computer science from Northern JiaoTong University, Beijing, China, in 1992 and 1995, respectively, and the Ph.D. degree in computer engineering from North Carolina State University, Raleigh, in 1999.

She is currently the Gonzalez Family Professor with the Department of Electrical Engineering and Computer Science, University of Tennessee, Knoxville. Her current research interests are in advanced imaging and collaborative processing in

resource-constrained distributed environment, hyperspectral image analysis, and automatic target recognition.

Dr. Qi was a recipient of the NSF CAREER Award. She also received the Best Paper Awards at the 18th International Conference on Pattern Recognition in 2006, the third ACM/IEEE International Conference on Distributed Smart 641 Cameras in 2009, and the Seventh IEEE Workshop on Hyperspectral Image and Signal Processing: Evolution in Remote Sensor in 2015. She received the Highest Impact Paper from the IEEE Geoscience and Remote Sensing Society 644 in 2012.

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AUTHOR QUERIES

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A Douglas–Rachford Splitting Approach to Compressed Sensing Image Recovery Using Low-Rank Regularization

Shuangjiang Li, Student Member, IEEE, and Hairong Qi, Senior Member, IEEE

Abstract—In this paper, we study the compressed sensing (CS) 1 image recovery problem. The traditional method divides 2 the image into blocks and treats each block as an indepen-3 dent sub-CS recovery task. This often results in losing global 4 structure of an image. In order to improve the CS recovery 5 result, we propose a nonlocal (NL) estimation step after the 6 initial CS recovery for denoising purpose. The NL estimation 7 is based on the well-known NL means filtering that takes an advantage of self-similarity in images. We formulate the NL 9 estimation as the low-rank matrix approximation problem, where 10 the low-rank matrix is formed by the NL similarity patches. 11 12 An efficient algorithm, nonlocal Douglas-Rachford (NLDR), based on Douglas-Rachford splitting is developed to solve this 13 low-rank optimization problem constrained by the CS mea-14 surements. Experimental results demonstrate that the proposed 15 NLDR algorithm achieves significant performance improvements 16 over the state-of-the-art in CS image recovery. 17

Index Terms—Compressed sensing, image recovery, nonlocal
 filtering, Douglas-Rachford splitting, low-rank estimation.

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I. INTRODUCTION

▼OMPRESSED Sensing (CS) has drawn quite some 21 attention as a joint sampling and compression 22 approach [1], [2]. It states that under certain conditions, 23 signals of interest can be sampled at a rate much lower than 24 the Nyquist rate while still enabling exact reconstruction of the 25 original signal. CS-based approach has an attractive advantage 26 that the encoding process is made signal-independent 27 computationally inexpensive at the cost of high and 28 decoding/recovery complexity. Usually, the CS measurement 29 is acquired through projecting the raw signals on to a 30 pre-defined random sampling operator. Thus, CS is especially 31 desirable in some image processing applications when the data 32 acquisition devices must be simple (e.g., inexpensive resource-33 deprived sensors), or when oversampling can harm the object 34 being captured (e.g., X-ray imaging) [3], among which the 35 compressive sensing Magnetic Resonance Imaging (CS-MRI) 36 is most promising as it significantly reduces the acquisition 37

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The authors are with the Department of Electrical Engineering and Computer Science, University of Tennessee, Knoxville, TN 37996 USA (e-mail: sli22@vols.utk.edu; hqi@utk.edu).

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time of MRI scanning. When applied to 2D images, CS faces 38 several challenges including a computationally expensive 39 reconstruction process and huge memory required to store the 40 random sampling operator [4]. Several fast algorithms have 41 been developed for CS reconstruction [4]–[6]. The memory 42 challenge was first addressed in [7] using a block-based 43 sampling operation, which later on became the most common 44 method in CS image recovery. 45

Block-based compressed sensing (BCS) has made the CS image recovery practical since it reduces the recovery cost, where image acquisition is conducted in a block-byblock manner through the same compressed sensing (CS) measurement operator. However, manually dividing the image into blocks and treating each image block as an independent sub-CS recovery task would inevitably lose some global properties of the image. Thus it would often require some filtering technique (i.e., Wiener filter [4]) to generate good visual recovery result. Nonetheless, the recovered image still suffers a low PSNR. Aside from BCS, another class of popular methods is based on the total variation (TV) model [5], [8], which exploits the prior knowledge that a natural image is sparse in the gradient domain. TV based algorithms often suffer from undesirable staircase artifacts and tend to oversmooth image details and textures [9].

In this paper, we propose NLDR, a CS image recovery 62 algorithm based on the BCS scheme. We overcome the 63 aforementioned BCS problems by introducing a new nonlocal 64 estimation step after the initial CS reconstruction to further 65 remove noise. The nonlocal estimation process is built on the 66 well-known nonlocal means (NL) filtering that takes advan-67 tage of self-similarities in images, which preserves certain 68 global structure. We formulate the nonlocal estimation into the 69 low-rank approximation problem where the low-rank matrix 70 is formed by the nonlocal similarity patches. Furthermore, 71 by using a deterministic annealing (DA) approach, we incor-72 porate the CS measurement constraint into the low-rank 73 optimization problem. We propose an efficient algorithm 74 based on Douglas-Rachford splitting (DR) to solve the 75 low-rank matrix approximation problem combined with the 76 CS measurement constraints, the solution to which is the final 77 CS recovery output. The proposed NLDR algorithm effectively 78 reduces the staircase artifacts that introduced in BCS and TV 79 by utilizing the nonlocal similarity patches while prevent-80 ing over-smoothness by recursively incorporating the initial 81 CS measurement constraint. 82

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The rest of the paper is organized as follows. Section II provides a brief review of the CS image recovery problem as well as some related works. Section III discusses the nonlocal estimation and Douglas-Rachford Splitting method. We conduct experiments in Section IV on both standard test images and MRI images. Section V concludes the paper.

II. BACKGROUND AND RELATED WORKS

90 A. CS Image Recovery Problem

Mathematically, the sparse representation model assumes that a signal $x \in \mathcal{R}^n$ can be represented as $x = \Psi \alpha$, where $\Psi \in \mathcal{R}^{n \times n}$ is a sparsifying basis or dictionary, and most entries of the coding vector α are zero or close to zero. This sparse decomposition of x can be obtained by solving a relaxed convex ℓ_1 -minimization problem in the following Lagrangian form:

$$\min_{\alpha} \{ \|x - \Psi\alpha\|_2^2 + \lambda_{\alpha} \|\alpha\|_1 \}, \tag{1}$$

⁹⁹ where constant λ_{α} denotes the regularization parameter.

In CS image recovery, we consider an image $I \in \mathcal{R}^{\sqrt{n} \times \sqrt{n}}$. By representing the image *I* in vector format, denoted as *x*, what we observe is the projected measurement *y* via $y = \Phi x + v$, where $\Phi \in \mathcal{R}^{m \times n} (m < n)$ is the measurement operator and *v* is the additive noise vector. To recover *x* from *y*, first *y* is sparsely coded with respect to the basis Ψ by solving the following minimization problem

$$\hat{\alpha} = \arg\min\{\|y - \Phi \Psi \alpha\|_2^2 + \lambda_\alpha \|\alpha\|_1\}$$
(2)

and then x is reconstructed by $\hat{x} = \Psi \hat{\alpha}$.

This can be easily extended to the block-based scenario, as 109 stated in [10]. Let $x_i = R_i x$ denote an image patch extracted 110 at location *i*, where R_i is the matrix extracting patch x_i from x 111 at pixel location *i*. Given a basis Ψ , each patch can be sparse 112 represented and solved by Eq. (1). Then the entire image x113 can be represented by the set of sparse code using $\{\Psi \alpha_i\}$. The 114 patches can be overlapped to suppress the boundary artifacts. 115 Similarly, in order to reconstruct the image x from the 116 measurement y, we can adopt the same block-based CS 117 recovery by solving α_i from Eq. (2). The whole image x is then reconstructed as $\hat{x} = \Psi \hat{\alpha} = (\sum_i^N R_i^T R_i)^{-1} \sum_i^N (R_i^T \Phi \hat{\alpha}_i)$ 118 119 as proved in [10]. 120

The Iterative soft thresholding (IST) algorithm [11] can 121 be very efficient in solving the problem in Eq. (2). In the 122 (k + 1)-th iteration, the solution is given by $\alpha^{(k+1)} =$ 123 $S_{\tau}(\alpha^{(k)} + \Phi^* y - \Phi^* \Phi \Psi \alpha^{(k)})$, where $S_{\tau}(\cdot)$ is the classic soft-124 thresholding operator [11]. In this paper, we use a slightly 125 modified IST algorithm [12], where the solution in each 126 iteration is called the projected Landweber iteration with the 127 adaptive descent parameter $\beta^{(k)} > 0$. 128

¹²⁹
$$\alpha^{(k+1)} = \mathcal{P}_{\mathcal{R}}[\alpha^{(k)} + \beta^{(k)}\Phi^*(y - \Phi\Psi\alpha^{(k)})],$$
 (3)

where $\mathcal{P}_{\mathcal{R}}$ is the ℓ_2 projection of α on the ℓ_1 ball with radius \mathcal{R} . The adaptive descent parameter $\beta^{(k)}$ can be selected using the greedy strategy as follows,

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$$\beta^{(k)} = \frac{\|\Phi^*(y - \Phi\Psi\alpha^{(k)})\|_2^2}{\|\Phi\Phi^*(y - \Phi\Psi\alpha^{(k)})\|_2^2}$$
(4)

This is an accelerated version of IST that converges faster than the original IST. Readers may refer to [12] for details.

B. Other Related Works

Buades et al. introduced in [13] the nonlocal 138 means (NLM) filtering approach to image denoising, 139 where the self-similarities between rectangular patches are 140 used as a prior on natural images. The idea of nonlocal 141 means has recently received much attention in image 142 processing [14]–[19]. For example, Peyré et al. [14] 143 proposed to use the Total Variation (TV) prior and nonlocal 144 graph to solve the inverse problem with application in CS. 145 The same idea was also adopted in Yang and Jacob [15]. 146 Zhang et al. [16] proposed TVNLR which improves the 147 conventional TV approach by adding a nonlocal regularization 148 to the CS recovery problem and solved the problem using 149 the Augmented Lagrangian Method (ALM). Shu et al. 150 proposed the NLCS algorithm [17] and tried to group similar 151 patches through NLS (nonlocal sparsity) regularization. 152 The authors in [19] proposed a nonlocal total variation 153 structure tensor (ST-NLTV) regularization approach for 154 multicomponent image recovery from degraded observations, 155 leading to significant improvements in terms of convergence 156 speed over state-of-the-art methods such as the Alternating 157 Direction Method of Multipliers (ADMM). Dong et al. 158 proposed the nonlocal low-rank regularization (NLR-CS) 159 method [18] which explored the structured sparsity of the 160 image patches for compressed sensing. In order to explore the 161 low-rank structure of the image patches, a smooth but non-162 convex surrogate function for the rank estimation is adopted 163 as objective function. Zhang et al. proposed nonlocal TV 164 regularization (NLVT) [20] for CS image recovery. NLTV is 165 based on the Bregman iteration [21], namely Bregmanized 166 Operator splitting (BOS). 167

In this paper, we adopt the nonlocal means filtering idea and 168 introduce a new nonlocal estimation step after the initial CS 169 reconstruction to further remove noise. It differs from [14] 170 as we use the ℓ_1 -norm based sparsity of the image and 171 result in solving a convex optimization problem using the 172 projection method. In [14] the nonlocal graph is similar to 173 the nonlocal weights between patches as used in our paper. 174 The main difference is that the author further imposed that 175 these weights correspond to a probability distribution and that 176 the graph only connects pixels that are not too far away. 177 While in [15], the nonlocal weights may be improved using 178 a different distance metric (i.e., robust distance metric) to 179 promote the averaging of similar patches while minimizing 180 the averaging of dissimilar patches. In this paper, we only 181 aim to find similar patches to form low-rank matrix and thus 182 differ from these methods. In [18] instead of using the nuclear 183 norm for low-rank approximation, the authors proposed to use 184 non-convex surrogate function and subsequently solved the 185 optimization problem via ADMM. 186

objective function and use the ADMM method to solve the 191 CS recovery problem. It differs from our algorithm in that 192 their search for similar patches is incorporated in the objective 193 function while NLDR directly adopts the nonlocal means 194 filtering approach to find the similar patches and then conducts 195 low-rank approximation. After getting the non-local low-rank 196 estimation, we further incorporate the initial CS measurement 197 constraint into the low-rank optimization problem, using a 198 deterministic annealing (DA) approach to further improve 199 the recovery result. Additionally, compared to the traditional 200 ADMM method, we propose to use Douglas-Rachford split-201 ting method to effectively solve the combined optimization 202 problem. 203

In [22], Candès and Tao proposed to solve the matrix completion problem using low-rank regularization through convex optimization. Later in [23] Dong et al. first combined the nonlocal image representation and low-rank approach for image restoration and achived state-of-the-art performance in image denosing. Ji et al. [24] also incorporated the low-rank matrix completion in video denoising.

To summarize, the main contribution of this paper is three-211 fold: First, we propose to incorporate the nonlocal similarity 212 patches searching step after the initial CS image recovery 213 task. By searching and incorporating the nonlocal similarity 214 patches the traditional block based CS recovery artifacts could 215 be resolved. Second, we propose to estimate the grouped 216 similarity patches matrix as a low-rank matrix completion 217 problem, referred as nonlocal low-rank estimation. The idea 218 is that, by searching the nonlocal similarity patches we could 219 resolve the block and staircase artifacts, while using low-rank 220 estimation we can further denoise the grouped similarity 221 patches. Third, we incorporate the initial CS measurement 222 constraint into the low-rank estimation optimization 223 problem. By using a deterministic annealing (DA) approach, 224 the Douglas-Rachford splitting effectively solves the 225 reformulated optimization problem. 226

III. NONLOCAL LOW-RANK REGULARIZATION AND DOUGLAS-RACHFORD SPLITTING

In this section, we present the idea of nonlocal low-rank regularization, followed by the proposed Douglas-Rachford splitting method. We refer to the algorithm as the Nonlocal Douglas-Rachford splitting (NLDR) algorithm.

233 A. Nonlocal Low-Rank Regularization for CS Image

234 An example to illustrate the nonlocal estimation step is shown in Fig. 1. The Lena image in the first row is obtained 235 from the IST CS recovery algorithm. Then the nonlocal similar 236 patches are searched across the entire image. We denote the 237 nonlocal similar patches of x_i as $x_{i,1}, x_{i,2}, x_{i,3}, \cdots x_{i,q}$. These 238 extracted patches then form the matrix B_i where the low-rank 239 approximation is conducted to yield the resulting denoised 240 patch matrix, as shown in the second row. We apply patch 241 reweight to obtain the estimated patch x_e to update the original 242 patch x_i . After iterating over the entire image, the much 243 cleaner Lena image is shown leftmost in the second row. 244



Fig. 1. An illustration of nonlocal estimation and similar patches denoising using low-rank matrix approximation.

1) Nonlocal Similarity Patches:The basic idea of245nonlocal (NL) means filtering is simple. For a given pixel246 u_i in an image x, its NL filtered new intensity value, denoted247by $NL(u_i)$, is obtained as a weighted average of its neighborhood pixels within a search window of size w.248

In our work, we extend the pixel-wise nonlocal filtering to the patch-based filtering. Specifically, we search for the nonlocal similar "patches" $x_{i,j}$, $j = 1, 2, \dots, q$, to the given patch x_i in a large window of size w centered at pixel u_i . 253 Here, q is the total number of similar patches to be selected. 254 The weight of patch $x_{i,j}$ to x_i , denoted as ω_{ij} , is then computed by 256

$$p_{ij} = \frac{1}{c_i} \exp(\frac{-\|x_i - x_{i,j}\|_2^2}{h^2}), \quad j = 1, \cdots, q$$
 (5) 257

where *h* is a pre-determined scalar and c_i is the normalization factor. Accordingly, for each patch x_i , we have a set of its similar patches, denoted by Ω_i . Then the nonlocal estimates of each patch \hat{x}_i can be computed as $\hat{x}_i = \sum_{j \in \Omega_i} \omega_{ij} x_{i,j}$. Further, this can be written in a matrix form as

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$$\hat{x}_{nl} \doteq \mathbf{W} \sum_{i=1}^{p} \hat{x}_{i}, \quad \mathbf{W}(i, j) = \begin{cases} \omega_{ij}, & \text{if } x_j \in \Omega_i \\ 0, & \text{otherwise.} \end{cases}$$
(6) 263

where *p* denotes the number of all patches in the entire image and \hat{x}_{nl} is the nonlocal estimated image output. 264

2) Patch Denoising by Low-Rank Approximation: Although 266 we can use Eq. (6) to remove noise in the IST recovered 267 image \hat{x} to a certain degree, this is based on a weighted 268 average of patches in \hat{x} , which are inherently noisy. Thus, it 269 is imperative to apply some denoising techniques before the 270 nonlocal similarity patch reweight using Eq. (6) to prevent 271 the noise from accumulating. By rewriting the nonlocal 272 similarity patches into the matrix format, we have 273 $B_i = [x_{i,1}; x_{i,2}; \dots; x_{i,q}]$, where each column of B_i is a 274 vector representation of $x_{i,j}$, $j = 1, 2, \dots, q$ for patch x_i . 275 Since all columns of B_i share similarity with patch x_i , 276 the columns of B_i should bear a high degree of similarity 277 between each other. In other words, we can safely treat B_i 278 as a low-rank matrix. We thus formulate the nonlocal patch 279 denoising problem into the low-rank matrix approximation 280

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²⁸¹ problem [22] as follows,

$$\min_{\hat{B}_i} \frac{1}{2} \|B_i - \hat{B}_i\|_2^2 + \lambda_{B_i} \|\hat{B}_i\|_*, \tag{7}$$

where $\|\hat{B}_i\|_*$ is the nuclear norm of the low-rank approximated

patch matrix \hat{B}_i , defined by $\|\hat{B}_i\|_* \triangleq \operatorname{trace}(\sqrt{\hat{B}_i}^T \hat{B}_i) = \sum_{r=1}^q \sigma_r$, and σ_r 's are the singular values of \hat{B}_i .

In addition, since the columns of B_i (or the patches) are also a subset of the reconstructed image from IST recovery algorithm, it should be subject to the CS measurement constraint $y = \Phi x$. Therefore, multiplying Eq. (7) with **W**, we reformulate the denoising problem of Eq. (7) into

$$\min_{x} \frac{1}{2} \|x - \mathbf{W}B_i\|_2^2 + \lambda_x \|x\|_* \text{ s.t. } y = \Phi x.$$
 (8)

In what follows, we discuss in sec. III-B how to solve Eq. (8) with the CS measurement constraint using the method referred to as the Douglas-Rachford splitting method.

295 B. Douglas-Rachford Splitting

The Douglas-Rachford splitting method was originally proposed in [25] for solving matrix equations. Later on it was advanced as an iterative scheme to minimize the functions of the form,

$$\min_{x} F(x) + G(x) \tag{9}$$

where both *F* and *G* are convex functions for which one is able to compute the proximal mappings $prox_{\gamma F}$ and $prox_{\gamma G}$ which are defined as

prox_{$$\gamma F$$}(x) = arg min_y $\frac{1}{2} ||x - y||_2^2 + \gamma F(y)$ (10)

The same definition applies to $\operatorname{prox}_{\gamma G}$ [26]. In order to solve Eq. (8), we have $F(x) = \iota_{\mathcal{C}}(x)$ and $G(x) = ||x||_*$, where $\mathcal{C} = \{x : y = \Phi x\}$ and $\iota_{\mathcal{C}}$ is the indicator function.

Given that $F(x) = \iota_{\mathcal{C}}(x)$, the solution to Eq. (10) is the same as projections onto convex sets (POCS), and does not depend on γ . Therefore, we have

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$$\operatorname{prox}_{y \iota_{\mathcal{C}} F}(x) = \operatorname{prox}_{\iota_{\mathcal{C}} F}(x) = x + \Phi^+(y - \Phi x), \quad (11)$$

where $\Phi^+ = \Phi^T (\Phi \Phi^T)^{-1}$ is the pseudoinverse of Φ . The proximal operator of G(x) is the soft thresholding of the singular values

s15
$$\operatorname{prox}_{\gamma G}(x) = U(x) \cdot \rho_{\lambda_x}(S(x)) \cdot V(x)^*$$
(12)

where $x = U \cdot S \cdot V^*$ is the singular value decomposition of the matrix x and $S = \text{diag}(s_i)_i$ is the diagonal matrix of singular values s_i , and $\rho_{\lambda_x}(S)$ is defined as a diagonal operator.

$$\rho_{\lambda}(S) = \operatorname{diag}(\max(0, 1 - \lambda_{x}/|s_{i}|)s_{i})_{i}$$
(13)

We can then solve the problem in Eq. (7) using the Douglas-Rachford iterations given by

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$$\tilde{x}_{k+1} = (1 - \frac{\mu}{2})\tilde{x}_k + \frac{\mu}{2} \operatorname{rprox}_{\gamma G}(\operatorname{rprox}_{\gamma F}(\tilde{x}_k))$$
 (14)

and the (k + 1)-th solution \hat{x}_{k+1} is calculated by $\hat{x}_{k+1} = \operatorname{prox}_{\gamma F}(\tilde{x}_{k+1})$. Here the reversed-proximal mappings

Algorithm 1 Nonlocal Douglas-Rachford Splitting (NLDR) Algorithm

Input:

- Measurement matrix $\Phi \in \mathbb{R}^{m \times n}$
- ▶ Basis matrix $\Psi \in \mathbb{R}^{n \times n}$
- ▶ Observation vector $y \in \mathbb{R}^m$.
- ▶ Number of IST iterations iter, number of nonlocal
- estimation iterations J, DR splitting iterations K
- Output:
 - ▶ An estimate $\hat{x} \in \mathbb{R}^n$ of the original image x.
- 1: Initialize $\alpha^0 \leftarrow \mathbf{0}$
- 2: for $k = 1, \cdots$, iter do
- 3: (a) Select $\beta^{(k)}$ based on Eq. (4)
- 4: (b) Update $\alpha^{(k+1)}$ using Eq. (3)
- 5: end for
- 6: **for** $j = 1, 2, \cdots, J$ do
- 7: Step 1: Nonlocal Estimate
- 8: (a) Calculate nonlocal weights ω_{ij} via Eq. (5)
- 9: (b) Obtain low-rank patch matrix B_i via Eq. (7)

10: Step 2: Douglas-Rachford Splitting to solve Eq. (8)

- 11: **for** $k = 1, 2, \cdots, K$ do
- 12: (a) Calculate $\operatorname{prox}_{\gamma F}(x)$ via Eq. (11)
- 13: (b) Calculate $\operatorname{prox}_{\gamma G}(x)$ via Eq. (12)
- 14: (c) Calculate \tilde{x}_{k+1} via Eq. (14)
- 15: **end for**
- 16: end for
- 17: return $\hat{x} \leftarrow \tilde{x}_{k+1}$

is given by $\operatorname{rprox}_{\gamma F} = 2\operatorname{prox}_{\gamma F} - x$ for F(x) and in the similar fashion to G(x).. The parameters are selected as $\lambda_x > 0$ and $0 < \mu < 2$ which guarantee \hat{x} to be a solution that minimizes F(x) + G(x) based on the proof in [27].

C. The NLDR Algorithm

Algorithm 1 provides a pseudo-code for the proposed 330 Nonlocal Douglas-Rachford splitting (NLDR) algorithm. 331 Given the observation y (i.e., compressed measurements), the 332 NLDR algorithm first outputs an intermediate reconstruction 333 result \hat{x}_{IST} through the IST algorithm. This soft-thresholding 334 output is then used to calculate the nonlocal estimated image 335 \hat{x}_{nl} , which is used to initialize the low-rank optimization 336 problem in Eq. (7) where the Douglas-Rachford splitting 337 method will be carried out iteratively based on Eq. (14). 338

As for calculating the nonlocal estimates of the image, the NLDR algorithm obtains the averaged result based on *J* nonlocal estimation iterations. For the IST algorithm, we empirically set the penalty parameter $\lambda_{\alpha} = 1.8$ and softthresholding parameter $\tau = 1.2$, respectively.

IV. EXPERIMENTS

In this section, we evaluate the NLDR algorithm for CS image reconstruction where both standard test images and MRI images are used. The reason for choosing MRI images for evaluation purpose is due to the significant impact of CS on the clinical practice of MRI, where long acquisition time 349

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TABLE I PSNR Performance in dB

Images		Lena													
Algor	ithms	IST	TV	TVAL3	BCS-SPL	IST+BM3D	NLCS	TVNLR	NLR-CS	NLTV	NLDR	TVAL3+NLDR	BCS-SPL+NLDR		
m/n	0.1	25.41	22.75	29.02	28.31	25.93	31.74	28.62	29.58	25.94	33.67	33.81	33.80		
	0.2	29.57	24.44	31.56	31.37	30.42	34.78	30.98	32.95	29.73	36.33	36.35	36.35		
	0.3	32.05	25.47	32.99	33.50	32.91	36.67	33.52	34.73	31.73	37.82	37.83	37.83		
	0.4	34.07	27.88	35.03	35.20	34.72	38.22	35.48	36.56	35.39	39.02	39.02	39.02		
	0.5	35.89	30.73	36.26	36.79	36.34	39.66	36.94	38.77	37.90	40.16	40.17	40.16		
								Barbar	a						
	0.1	21.18	20.10	21.31	22.85	21.34	24.34	22.55	26.90	23.13	29.48	31.14	31.01		
	0.2	24.35	21.66	21.60	24.33	24.80	28.17	24.30	30.87	28.29	35.28	35.21	35.22		
m/n	0.3	26.96	23.61	24.79	25.92	27.73	31.65	25.35	34.26	31.79	37.30	37.30	37.32		
	0.4	29.38	25.32	28.55	27.68	30.29	34.32	26.85	36.14	34.44	38.95	38.95	38.95		
	0.5	31.73	26.62	31.08	30.15	32.82	36.63	28.02	39.36	36.23	40.50	40.51	40.51		
	0.1	21.20	Peppers												
	0.1	24.30	21.98	29.69	28.88	24.77	31.85	26.25	29.18	25.78	32.91	33.11	33.07		
,	0.2	29.16	23.47	32.70	31.44	29.86	33.99	31.64	32.38	29.35	34.74	34.70	34.69		
m/n	0.3	31.54	25.57	34.02	32.89	32.10	35.27	34.35	35.73	32.85	35.78	35.70	35.70		
	0.4	33.29	27.30	34.98	34.00	33.37	30.41	35.02	35.29	34.82	30.91	30.73	30.73		
	0.5	<u>34.73</u> 29.07 30.08 35.18 34.57 37.52 36.74 37.03 36.96 38.13 37.99 37.99													
	0.1	10.69	10.70	10.01	20.21	10.66	20.80	101anur	20.63	10.05	21.15	21.90	21.90		
<i>m/n</i>	0.1	21.02	21.17	19.01	20.21	20.60	20.89	20.57	20.03	19.95	21.15	21.09	21.00		
	0.2	22.02	22.81	19.22	21.05	20.09	24.70	23.11	23.21	24.64	25.45	25.85	25.75		
	0.5	23.68	24.79	20.20	22.92	23.13	26.17	24.26	25.20	26.95	27.47	27.91	27.81		
	0.5	25.16	26.71	22.73	24.50	24.72	27.87	25.42	27.16	29.37	29.40	29.71	29.66		
		Goldhill													
	0.1	24.96	22.56	27.45	26.96	25.08	28.53	25.20	28.92	23.58	28.94	29.66	29.57		
	0.2	27.81	23.93	29.86	28.95	27.87	30.84	28.92	31.60	26.90	32.10	32.26	32.24		
m/n	0.3	29.53	25.88	31.62	30.56	29.49	32.55	31.29	33.37	30.08	33.99	34.02	34.02		
	0.4	31.23	27.73	33.21	32.09	30.92	34.13	33.08	34.36	32.66	35.61	35.63	35.62		
	0.5	32.76	29.44	33.29	33.61	32.18	35.67	34.55	36.41	35.01	37.20	37.20	37.21		
								Cameran	nan						
	0.1	23.86	22.05	28.50	26.36	24.38	33.26	25.53	30.72	29.71	36.83	36.97	36.85		
	0.2	26.97	24.11	34.12	30.07	31.66	37.49	30.67	35.29	33.95	41.49	41.56	41.55		
m/n	0.3	33.66	26.55	38.16	32.82	35.44	40.71	34.34	37.79	37.65	43.92	43.96	43.96		
	0.4	34.85	29.23	40.42	35.48	38.28	43.40	37.56	41.65	40.83	45.96	46.01	45.95		
	0.5	36.26	34.02	43.01	37.85	40.71	45.92	39.95	45.56	43.67	47.90	47.92	47.90		
								Boat							
	0.1	23.77	21.48	25.76	24.65	24.16	27.74	24.03	26.41	23.94	28.69	29.52	29.24		
	0.2	27.01	23.18	28.94	27.02	27.38	30.66	28.02	29.07	27.97	32.48	32.68	32.63		
m/n	0.3	29.10	24.84	31.09	28.94	29.61	32.64	30.80	30.65	30.89	34.41	34.47	34.43		
	0.4	30.91	26.93	32.68	30.59	31.24	34.26	33.06	32.48	33.45	35.77	35.81	35.86		
	0.5	32.68	29.19	33.53	32.19	32.76	35.73	34.66	35.87	36.04	37.24	37.24	37.25		

has been one of the primary obstacles. We implement the 350 algorithm using Matlab 2013b on a 2.20GHz laptop computer. 351 BCS-SPL [4] is a block-based CS image recovery 352 method solved using a smoothed version of projected 353 Landweber (SPL) algorithm. The smoothing process is done 354 by the Wiener filter. We further compare our result with 355 one of the state-of-the-art algorithms for image CS recovery, 356 known as TVAL3 [6]. TVAL3 tries to minimize the image 357 total variation norm using augmented lagrangian and alternat-358 ing direction algorithms. Several TV-based methods are also 359 compared. The TV benchmark method denoted as TV which 360 is implemented based on [28], TVNLR [29] and NLTV [20]. 361 We also compare NLDR performance with other nonlocal 362 based approaches, e.g., NLCS [17] and NLR-CS [18]. Finally, 363 to evaluate the potential of NLDR as a standalone denoising 364 method, we compare its performance with the state-of-the-art 365 BM3D [30] method for noise removal purpose. 366

367 A. CS Recovery on Standard Image Dataset

We present the experimental results for noiseless CS measurements and then report the results using noisy CS measurements.



Fig. 2. CS recovery results on *Lena* image with 10% measurements at iteration *j*.

1) Noiseless Recovery: We first test the NLDR algorithm $_{371}$ in noiseless settings using standard test images of size $_{372}$ 512×512 . The block-based image patch is of size 6×6 . $_{373}$ We set the number of similar patches q in the nonlocal $_{374}$



CS Reconstructed image Barbara with 30% measurement ratio. (a) Original image; (b) proposed NLDR recovery, PSNR = 37.30dB; Fig. 3. (c) BCS-SPL recovery [4], PSNR = 25.92dB; (d) TVAL3 recovery [6], PSNR = 24.79dB; (e) TVNLR recovery [29], PSNR = 25.35dB. (f) NLCS recovery [17], PSNR = 31.65dB; (g) NLR-CS recovery [18], PSNR = 34.26dB; (h) NLTV recovery [20], PSNR = 31.79dB.



Fig. 4. Boat image with cropped character patch using 20% measurements. (a) proposed NLDR recovery, PSNR = 32.48dB; (b) NLCS recovery [17], PSNR = 30.66dB; (c) TVNLR recovery [29], PSNR = 28.02dB; (d) NLR-CS recovery [18], PSNR = 29.07dB; (e) NLTV recovery [20], PSNR = 27.97dB.



Fig. 5. Part of Lena image with 200% magnification using 20% measurements. (a) Original image; (b) reconstruction using proposed NLDR with IST, PSNR = 36.33dB; (c) TVAL3 + NLDR, PSNR = 36.35dB (d) BCS-SPL + NLDR, PSNR = 36.35dB.

estimation step as 45. We use the scrambled Fourier matrix as 375 the CS measurement operator Φ and DCT matrix as the basis 376 Ψ to represent the original image in the initial IST recovery. 377 The parameters are selected as $\mu = 1$ for DR iteration and 378 $\lambda_x = \frac{c_i}{\max(s_i)}$ for each iteration where $c_i = C_0 * \epsilon, 0 < \epsilon < 1$ and C_0 is a constant. For the number of iterations in the 379 380

outerloop, we find that the recovery result gradually converges 381 when J reaches 12 for all the image datasets. Fig. 2 shows 382 one example on Lena image using 10% of measurements. Note 383 that at iteration 0, we use the initial IST recovery result. 384

Table I compares PSNR with different measurement ratios 385 (i.e., $\frac{m}{n}$). We see that the NLDR algorithm considerably 386

TABLE II
CS NOISY RECOVERY RESULTS ON STANDARD TEST IMAGES WITH 20% MEASUREMENTS

					Algorithm	l			
	NLDR	TV	NLTV	BCS-SPL	TVAL3	TVNLR	NLCS	NRL-CS	BM3D
SNR					Lena				
5	36.24	21.27	25.94	30.50	28.82	28.14	32.45	32.55	30.32
10	36.29	21.63	27.66	30.51	28.93	28.43	33.13	32.65	30.31
15	36.29	22.19	28.34	30.52	30.94	29.23	33.44	32.76	30.31
25	36.29	23.63	29.01	30.52	31.18	30.96	34.01	32.90	30.34
35	36.29	24.34	29.50	30.52	31.18	30.98	34.57	32.95	30.34
Noiseless	36.33	24.44	29.73	31.37	31.56	30.98	34.78	32.95	30.42
				-	Barbara				
5	35.15	19.03	25.11	24.40	19.45	23.22	27.73	30.39	24.74
10	35.16	19.34	25.94	24.44	19.80	23.56	27.86	30.50	24.75
15	35.16	19.87	26.37	24.45	19.94	24.17	28.02	30.76	24.77
25	35.21	21.05	27.35	24.45	20.03	24.04	27.94	30.87	24.77
35	35.27	21.32	28.04	24.46	20.07	24.28	28.01	30.87	24.80
Noiseless	35.28	21.66	28.29	24.33	21.60	24.30	28.17	30.87	24.80
					Peppers				
5	34.61	20.11	26.21	30.77	31.33	29.87	32.11	32.01	29.79
10	34.61	20.49	26.73	30.77	31.71	30.01	32.49	32.17	29.73
15	34.68	21.61	27.01	30.92	31.99	30.55	33.41	32.30	29.76
25	34.68	23.20	28.35	30.92	32.66	31.60	33.37	32.38	29.80
35	34.58	23.44	29.22	30.92	32.68	31.60	33.89	32.38	29.80
Noiseless	34.74	23.47	29.35	31.44	32.70	31.64	33.99	32.38	29.86
					Mandrill			L.	
5	23.41	19.35	19.76	21.31	16.27	20.22	20.41	21.45	20.55
10	23.39	19.74	20.01	21.29	16.35	20.94	21.33	21.60	20.62
15	23.41	20.20	20.69	21.31	17.07	21.77	22.01	21.76	20.62
25	23.42	20.67	21.27	21.33	17.67	21.90	22.48	21.80	20.62
35	23.42	20.99	22.03	20.81	18.21	21.93	22.60	21.84	20.63
Noiseless	23.43	21.17	22.25	21.09	19.22	21.93	22.78	21.89	20.69
					Goldhill				
5	32.04	21.09	24.81	28.36	28.54	28.02	28.77	31.27	29.79
10	32.07	21.86	25.03	28.37	28.84	28.43	29.03	31.30	29.81
15	32.10	22.44	25.84	28.37	28.96	28.89	30.48	31.44	29.81
25	32.06	23.50	26.40	28.37	29.70	28.92	30.33	31.59	29.81
35	32.06	23.61	26.66	28.37	29.75	28.92	30.67	31.60	29.81
Noiseless	32.10	23.93	26.90	28.95	29.86	28.92	30.84	31.60	29.86
					Camerama	n			
5	41.20	21.01	31.13	30.07	33.02	29.17	36.77	34.98	31.57
10	41.40	21.27	31.36	30.19	33.21	29.83	36.98	35.20	31.62
15	41.48	22.11	32.07	30.06	33.42	30.53	37.40	35.26	31.63
25	41.49	22.98	33.24	30.09	33.44	30.47	37.37	35.29	31.64
35	41.49	23.67	33.86	30.20	33.95	30.64	37.44	35.29	31.64
Noiseless	41.49	24.11	33.95	30.07	34.12	30.67	37.49	35.29	31.66
		0 0 1 -			Boat			2 0 7 -	
5	32.39	20.15	25.46	27.00	27.65	27.14	28.83	28.67	27.29
10	32.44	20.44	25.63	27.01	27.78	27.45	28.97	28.75	27.32
15	32.44	21.21	26.29	27.02	28.08	27.93	29.25	28.97	27.32
25	32.44	21.99	26.99	27.02	28.21	28.02	29.76	29.05	27.32
35	32.44	22.78	27.68	27.02	28.57	28.00	30.49	29.05	27.34
Noiseless	32.48	23.18	27.97	27.02	28.94	28.02	30.66	29.07	27.38

outperforms the other methods in all the cases, with 387 PSNR improvements of up to 11.38dB and 13.68dB, 388 as compared with BCS-SPL and TVAL3, respectively. 389 Furthermore, the average PSNR gain by NLDR over BCS-SPL 390 is 6.18dB and 5.17dB over TVAL3. For the other nonlocal 391 based methods, we see that NLDR also outperforms them, 392 with average PSNR gain over NLCS by 2.19dB, 5.41dB over 393 TVNLR, 2.79Db over NLR-CS and 4.28dB over NLTV. 394

Since originally NLDR is calculated on top of the IST recovery algorithm with an extra nonlocal estimation step, in order to perform a fair comparison among the BCS-SPL and TVAL3 algorithms, we use the result image from BCS-SPL and TVAL3 algorithm as the input to the NLDR algorithm. By doing this, we would be able to quantify how much improvement NLDR has gained. Also, since the initial image from IST output is noisy, we further apply the state-of-the-art denoising algorithm - BM3D on top of the IST recovery result to denoise the result image in order to compare with the NLDR result.

In Table I, the column TVAL3+NLDR denotes 406 applying NLDR on the TVAL3 resulting image, the column BCS-SPL+NLDR denotes NLDR applied on top of the BCS-SPL output, and IST+BM3D denotes BM3D applied 409 on top of the IST output. Note, we also generate the sole 410 IST algorithm output in the first column. From the table, 411 we can see that the columns correspond to TVAL3+NLDR, 412 BCS-SPL+NLDR and NLDR yield similar PSNR. This
result indicates the generalization capability of NLDR, that it
actually gives the best available denoised recovery result no
matter what the initial input is. That is, NLDR has the great
potential of serving as a stand-alone denoising algorithm.

Some visual results of CS reconstructed image Barbara with 418 30% measurement ratio are presented in Fig. 3. Obviously, 419 NLDR generates much better visual quality than those 420 from BCS-SPL and TVAL3, where both BCS-SPL and 421 TVAL3 have blurred artifacts. When compared using Table I, 422 we see NLDR outperforms the other two algorithms largely 423 in PSNR. The reason is that the image *Barbara* itself has a 424 lot of texture patterns (i.e., nonlocal similar patches), which 425 had been successfully exploited in the NLDR algorithm. 426 Fig. 4 demonstrates the Boat image with cropped character 427 patch using 20% measurements. Also, we show in Fig. 5 the 428 result of original NLDR using IST as well as TVAL3+NLDR 429 and BCS-SPL+NLDR. They all have similar visual results 430 as compared to the original image. This is consistent to the 431 observation made based on Table I that their recovery PSNRs 432 are very close. 433

2) Noisy Recovery: In this experiment, the robustness of 434 the NLDR algorithm to noise is demonstrated. In practice, 435 CS measurements consist mostly of linear operations, thus 436 the Gaussian noise corrupting the signal during the signal 437 acquisition is approximated as the Gaussian noise corrupt-438 ing the compressed measurement vector. In our experiments, 439 simply corrupt the compressed measurement vecwe 440 tor by different levels of Gaussian noise measured by 441 Signal-to-Noise Ratios (SNRs). We use all seven standard test 442 images and add different SNRs (5, 10, 15, 25, 35) to their 20% 443 CS measurements and report the PSNR values of the final 444 CS recovered image in Table II. 445

From Table II, we see that by adding 5dB of Gaussian noise on the CS measurements, all the TV-based algorithms' 447 448 (i.e., TV, NLTV, TVAL3 and TVNLR) recovery performance suffer in terms of PSNR as compared with their original 449 noiseless recovery settings. When the noise SNR reaches 35, 450 the recovery result is close to its noiseless case. It also 451 demonstrates that the recovery performance degrades on both 452 BCS-SPL and NLCS when noise is added while NLDR is 453 affected much less by the noise in all SNR cases. We see 454 that the NLR-CS algorithm is also robust on noise with only 455 less than 1dB PSNR decrease as compared with its noiseless 456 settings for all the testing images. For BM3D, as a denoising 457 algorithm, we see that the recovery result is not affected 458 much with different noise dB levels. However, NLDR still 459 outperforms NLR-CS and BM3D in the noisy CS recovery 460 case. 461

462 B. Recovery Performance on MRI Data

In this experiment, the performance of the proposed NLDR algorithm is demonstrated on the real MRI Brain image data with a variety of undersampling factors. The image used is *in vivo* MR scans of size 512 × 512 from [31]. The CS data acquisition is simulated by downsampling the 2D discrete Fourier transform of the Brain image. Our result is



Fig. 6. Axial T2 Weighted Brain image CS recovery using 4 fold downsampling (25% measurements). (a) Original image; (b) reconstruction using SparseMRI, PSNR = 31.84dB; (c) DLMRI, PSNR = 34.75dB; (d) NLDR (IST), PSNR = 34.86dB.

compared with a leading CS MRI method by Lustig et al. [3] 469 (denoted as SparseMRI) and the dictionary learning based 470 recovery algorithm called DLMRI [32]. The SparseMRI 471 method is to minimize both the l_1 norm and the TV norm 472 of the image in the wavelet domain. The DLMRI uses 473 K-SVD dictionary learning methods and tries to find the 474 best sparse representation of the image for CS recovery. 475 We adopt the same 2D random sampling scheme as in [32] 476 with 2.5, 4, 6, 8, 10, 20 fold downsampling. Here, for the 477 k fold downsampling, it is equivalent to the measurement ratio 478 (i.e., $\frac{m}{n}$) of $\frac{1}{k}$. 479

In Fig. 6, we present the CS recovery result on the Brain 480 image with 4 fold downsampling. We observe that NLDR 481 (based on IST) gives the best recovery result in PSNR which 482 is 34.86dB. The DLMRI method also has a close PSNR of 483 34.75dB. We also demonstrate in Fig. 7 the comparison with 484 various downsampling factors. When the downsampling factor 485 is within 10 fold, the NLDR performance is comparable to 486 that of the DLMRI method, while the SparseMRI generates 487 much lower recovery PSNRs. When the downsampling factor 488 reaches 20, the reconstructed image PSNR drops drastically 489 for SparseMRI, and the NLDR is 1.15dB less than DLMRI 490 PSNR. The reason that DLMRI performs better than NLDR 491 is that, DLMRI uses dictionary learning to find the best 492 sparse representation basis for each single test image. NLDR 493 naturally utilizes a general DCT basis to represent the original 494 test image. As a universal basis, it is not chosen to be 495 optimal for one image. The DLMRI also has its disadvantages-496 the recovery time usually lasts for hours for a large image 497



Fig. 7. CS recovery results comparison with various downsampling factors.

⁴⁹⁸ as the dictionary learning takes a lot of computations. ⁴⁹⁹ The computation time needed for NLDR is at the same level ⁵⁰⁰ as those of TVAL3 and BCS-SPL. For all our test images of ⁵⁰¹ size 512×512 , NLDR takes, on average, about 10 minutes to ⁵⁰² finish on a Laptop PC.

V. CONCLUSION

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This paper presented a CS image recovery algorithm based 504 on Douglas-Rachford Splitting with nonlocal estimation. The 505 proposed NLDR algorithm first used the iterative thesholding 506 algorithm to obtain the intermediate image reconstruction 507 result. Then a nonlocal estimation step was applied to the 508 reconstructed image to improve the recovery performance. 509 In the nonlocal estimation step, we reformulated the patches 510 estimation as patch denoising problem using low-rank matrix 511 approximation. We proposed a Douglas-Rachford splitting 512 method to solve the CS recovery problem with the non-513 local estimation. Experimental results validated the perfor-514 mance of the proposed NLDR algorithm in both PSNR and 515 visual perception on standard test images with both noiseless 516 and noisy settings. NLDR outperformed the state-of-the-art 517 CS recovery algorithms and showed it can be applied on top 518 of existing recovery algorithms to further improve the recovery 519 performance. Experiments on MRI data also demonstrated it 520 is practical for real applications with competing results. 521

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Shuangjiang Li received the B.S. degree in electrical engineering from the University of Science and Technology Beijing, Beijing, China, in 2009, and the M.S. and Ph.D. degrees in computer engineering from the University of Tennessee, Knoxville, TN, in 2011 and 2015, respectively. His research interests include signal and image processing, compressed sensing, mobile sensing systems, and WSN.



Hairong Qi (S'97-M'00-SM'05) received the B.S. and M.S. degrees in computer science from Northern JiaoTong University, Beijing, China, in 1992 and 1995, respectively, and the Ph.D. degree in computer engineering from North Carolina State University, Raleigh, in 1999.

She is currently the Gonzalez Family Professor with the Department of Electrical Engineering and Computer Science, University of Tennessee, Knoxville. Her current research interests are in advanced imaging and collaborative processing in

resource-constrained distributed environment, hyperspectral image analysis, and automatic target recognition.

Dr. Qi was a recipient of the NSF CAREER Award. She also received the Best Paper Awards at the 18th International Conference on Pattern Recognition in 2006, the third ACM/IEEE International Conference on Distributed Smart 641 Cameras in 2009, and the Seventh IEEE Workshop on Hyperspectral Image 642 and Signal Processing: Evolution in Remote Sensor in 2015. She received the 643 Highest Impact Paper from the IEEE Geoscience and Remote Sensing Society 644 in 2012.

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AUTHOR QUERIES

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